



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ashkenazi et al. Docket No.: 39780-1618P2C80
Serial No.: 09/909,320 Group Art Unit: 1647
Filing Date: July 18, 2001 Examiner: Hamud, Fozia M.
For: **SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC
ACIDS ENCODING THE SAME**
Express Mail Label No.: EL 993 689 491 US
Mailing Date: October 20, 2004

**INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. §1.97**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The Applicant notes that prosecution on the merits was re-opened by the Examiner in the Office action dated April 20, 2004.

Listed on an attached Form PTO-1449 is information known to applicant(s). Each reference listed has been cited in related patent application US Serial No. 09/903,640; Our Ref. No. 39780-1618P2C48 and/or US Serial No. 09/903,925; Our Ref. No. 39780-1618P2C49. Therefore, a copy of each reference is not provided. The applicant would be happy to provide a copy upon request. Applicants propose these documents for consideration for completeness in cross-citation within the related applications. Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. The Examiner is requested to initial and return form PTO-1449 in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

☐ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):

- ☐ (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)
-- OR --
☐ (2) It is being filed within 3 months of entry of a national stage
-- OR --
☐ (3) It is being filed before the mail date of the first Office Action on the merits
-- OR --

~~10/26/2004 RNDDAF1 00000088 081641 09909320~~

02-FC:1253

~~980.00 DA~~

10/26/2004 RNDDAF1 00000088 09909320

01 FC:1806 180.00 DA

- ☐ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.
- ☒ 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:
- ☐ a certification as specified in §1.97(e) is provided below; **or**
- ☒ a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☐ 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:
- A. a certification as specified in §1.97(e) is completed below; and
- B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; **and**
- C. a fee of \$180.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☒ *Fee Authorization.* The Commissioner is hereby authorized to charge the above-referenced fees of \$180.00 and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 08-1641 (Docket No. 39780-1618P2C80).

Respectfully submitted,

Dated: October 20, 2004

By: Daphne Reddy
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Doc # 2032898

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INFORMATION DISCLOSURE STATEMENT PTO-1449			ATTY. DOCKET NO.: 39780-1618P2C80		SERIAL NO.: 09/909,320		
			APPLICANT : Avi Ashkenazi, et al.				
			FILING DATE: July 18, 2001		GROUP: 1647		
U.S. PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
	5,821,337	10/1998	Carter et al.				
FOREIGN PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 98/36054	8/1998	PCT (Amrad Operations)			<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
	Atwood, "The babel of bioinformatics", 2000, SCIENCE, Vol. 290, pp 471-473						
	Berendsen, H. "A Glimpse of the Holy Grail?", SCIENCE, 282, pp 642-643, 1998						
	Galperin et al, "Who's your neighbor?", New Computational approaches for functional genomics", NATURE BIOTECHNOLOGY, Vol. 18, pp 609-613, 2002						
	Haynes et al, "Proteome analysis: Biological assay or data archive?" Electrophoresis, Vol. 19, pages 1862-1871, 1998.						
	Konopka et al, "Variable expression of the translocated c-abl oncogene in philadelphia-chromosome-positive B-lymphoid cell lines from chronic myelogenous leukemia patients" Proc. Natl. Acad. Sci, USA, Vol. 83, pps 4049-4052, June 1986.						
EXAMINER			DATE CONSIDERED				

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.